

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 1.53(b))</i>		Attorney Docket No. 31529.0072	
		First Inventor Markus Bernhard Vetter	
		Title Retaining Ring for Sprockets	
		Express Mail Label No. ER 525551997 US	

03940 U.S. PTO
10/647836

06/25/03

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	Mail Stop Patent Application ADDRESS TO: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages / 12 /] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets / 6 /] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages / 2 /] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: 2 checks: \$40 and \$870

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)
 of the prior application No: _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number: 26712		OR <input type="checkbox"/> Correspondence address below			
NAME		David L. Principe			
		Hodgson Russ LLP			
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Name (Print/Type)		David L. Principe		Registration No. (Attorney/Agent) 39,336	
Signature				Date	August 25, 2003

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Date of Deposit August 25, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

David L. Principe
Name

David L. Principe
Signature

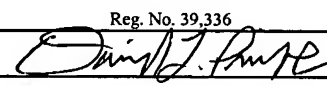
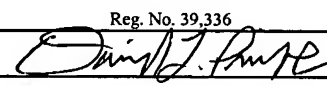
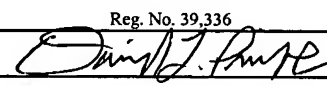
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08/25/03

FEE TRANSMITTAL**for FY 2003**

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

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<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: <u>08-2442</u> Deposit Account Name: <u>Hodgson Russ LLP</u> The Director is hereby authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>\$</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td>\$</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td>\$</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting Publication of SIR prior to Examiner Action</td> <td>\$</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting Publication of SIR after Examiner Action</td> <td>\$</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td>\$</td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> <td>Extension for reply within second month</td> <td>\$</td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253</td> <td>465</td> <td>Extension for reply within third month</td> <td>\$</td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>2254</td> <td>725</td> <td>Extension for reply within fourth month</td> <td>\$</td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>2255</td> <td>985</td> <td>Extension for reply within fifth month</td> <td>\$</td> </tr> <tr> <td colspan="2">SUBTOTAL (1)</td> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> <td>Notice of Appeal</td> <td>\$</td> </tr> <tr> <td colspan="2">2. 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Principe, Reg. 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1002	330	2002	165	Design filing fee	\$																																																																																																																																																																																																																																																																																																	
1003	520	2003	260	Plant filing fee	\$																																																																																																																																																																																																																																																																																																	
1004	750	2004	375	Reissue filing fee	\$																																																																																																																																																																																																																																																																																																	
1005	160	2005	80	Provisional filing fee	\$																																																																																																																																																																																																																																																																																																	
Total Claims / 22 / - 20** = / 2 / x / 18 / =					\$ 36																																																																																																																																																																																																																																																																																																	
Independent Claims / 4 / - 3** = / 1 / x / 84 / =					\$ 84																																																																																																																																																																																																																																																																																																	
Multiple dependent / / x / / =					\$																																																																																																																																																																																																																																																																																																	
SUBTOTAL (2)					\$120																																																																																																																																																																																																																																																																																																	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	\$																																																																																																																																																																																																																																																																																															
		1452	110	2452	55	Petition to revive - unavoidable	\$																																																																																																																																																																																																																																																																																															
		1453	1,300	2453	650	Petition to revive - unintentional	\$																																																																																																																																																																																																																																																																																															
		1501	1,300	2501	650	10 advance copies Utility issue fee (or reissue)	\$																																																																																																																																																																																																																																																																																															
		1502	470	2502	235	Design issue fee	\$																																																																																																																																																																																																																																																																																															
		1503	630	2503	315	Plant issue fee	\$																																																																																																																																																																																																																																																																																															
		1460	130	1460	130	Petitions to the Commissioner	\$																																																																																																																																																																																																																																																																																															
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$																																																																																																																																																																																																																																																																																															
		1806	180	1806	180	Submission of Information Disclosure Statement	\$ 40																																																																																																																																																																																																																																																																																															
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$																																																																																																																																																																																																																																																																																															
SUBMITTED BY: David L. Principe, Reg. No. 39,336		1809	750	2809	375	Filing a submission after final rejection(37 CFR 1.129(a))	\$																																																																																																																																																																																																																																																																																															
SIGNATURE 		1810	750	2810	375	For each add'l invention to be examined(37 CFR 1.129(b))	\$																																																																																																																																																																																																																																																																																															
DATE: August 25, 2003 Telephone: (716) 848-1262		1801	750	2801	375	Request For Continued Examination (RCE)	\$																																																																																																																																																																																																																																																																																															
		1802	900	1802	900	Request for Expedited Examination of a design application	\$																																																																																																																																																																																																																																																																																															
		Other fee (specify)				\$																																																																																																																																																																																																																																																																																																
		*Reduced by basic filing fee paid				SUBTOTAL (3)	\$ 40																																																																																																																																																																																																																																																																																															

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I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22303-1450.

David L. Principe
NameSignature August 25, 2003
Date of Signature

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